



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/050,049	01/17/2002	Juergen Klenk	CH9-2000-0049

30743
WHITHAM, CURTIS & CHRISTOFFERSON, P.C.
11491 SUNSET HILLS ROAD
SUITE 340
RESTON, VA 20190



CONFIRMATION NO. 1142

FORMALITIES LETTER



OC000000007415700

Date Mailed: 02/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$424.
 - \$144 for 8 total claims over 20.
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1294.**

*A copy of this notice **MUST** be returned with the reply.*

Teddy Berlin
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

740.00 OP
108.00 OP
130.00 OP
00000064 10050049
03/22/2002 JADD01
01 FC:101
02 FC:103
03 FC:105

IN THE U.S. PATENT AND TRADEMARK OFFICE



In re Application of:

Klenk et al.

Serial No. 10/050,049

Group Art Unit: 2122

Filed: Jan. 17, 2002

Examiner: not assigned

For: Systems and Method for Computer Based Searching for Relevant Texts

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box: Missing Parts
Assistant Commission for Patents
Washington, DC 20231

Sir:

Attached hereto is a Notice to File Missing Parts and an executed Declaration and Power of Attorney form. Also attached is the Assignment, cover sheet along with a check for \$40.00.

The undersigned wishes to draw the attention to the accompanying copy of the Preliminary Amendment that was filed concurrently with the application on January 17, 2002. Attached is a copy of the Preliminary Amendment along with a copy of the PTO stamped receipt (note the box checked – Preliminary Amendment). Also attached is a copy of the Fee Transmittal which accompanied the application showing that only 26 claims were filed (6 claims over the allowed 20). By the Preliminary Amendment, the multiple dependent claims were amended to depend from only one claim, thus eliminating the multiple dependent fee.

In summary, the Notice to File Missing Parts of a Nonprovisional Application is incorrect in that it notes a total of 8 claims over the 20 instead of the correct number of 6 as well as a fee for multiple dependent claims, thus giving an incorrect balance due of \$1,294.00. The correct amount due is \$978.00 and a check for that amount is attached.

If for any reason any additional fees are deemed due, the Commissioner is authorized to charge attorney's Deposit Account 50-2041 (Whitham, Curtis & Christofferson, PC)

Respectfully submitted,



Michael E. Whitham
Reg. No. 32,635

Date: 3-21-02



30743
PATENT TRADEMARK OFFICE

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
00280732AA
H3

In Re Application Of: J. Klenk et al.

MAR 21 2002

PATENT & TRADEMARK OFFICE

Serial No.
10/050,049

Filing Date
Jan. 17, 2002

Examiner
not assigned

Group Art Unit
2122

Invention: Systems and methods for Computer Based Searching for Relevant Texts

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

February 4, 2002

Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

Assignment with cover sheet (Check for \$40.00)

COPY of the Preliminary Amendment as filed with the application

COPY of the PTO stamped receipt showing that the Preliminary Amendment was filed with the application

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
00280732AA

In Re Application Of: J. Klenk et al.

MAR 21 2002
850

Serial No.
10/050,049

Filing Date
Jan. 17, 2002

Examiner
not assigned

Group Art Unit
2122

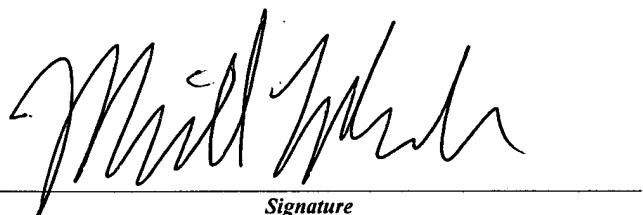
Invention: Systems and methods for Computer Based Searching for Relevant Texts

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$848.00 is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-2041
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
A duplicate copy of this sheet is enclosed.



Signature

Dated: March 21, 2002

Michael E. Whitham
Reg. No. 32,635



30743
PATENT TRADEMARK OFFICE

I certify that this document and fee is being deposited on with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

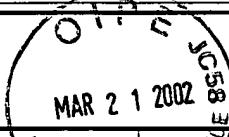
Typed or Printed Name of Person Mailing Correspondence

CC:

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
00280732AA

In Re Application Of: J. Klenk et al.



Serial No.
10/050,049

Filing Date
Jan. 17, 2002

Examiner
not assigned

Group Art Unit
2122

Invention: Systems and methods for Computer Based Searching for Relevant Texts

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	<u>\$740.00</u>
<input type="checkbox"/> Design application filing fee	<u> </u>
<input checked="" type="checkbox"/> Total number of independent claims = <u>3</u>	<u> </u>
<input checked="" type="checkbox"/> Total number of claims = <u>26</u>	<u>108.00</u>
<input type="checkbox"/> Multiple dependent claims	<u> </u>
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	<u>\$130.00</u>
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor	<u> </u>
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	<u> </u>
<input type="checkbox"/> Fee for processing and retention of application	<u> </u>
 Total completion of application fees	
<u>\$978.00</u>	

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month Two months Three months Four months Five months

from: _____

Date

until: _____

Date

Total time extension fees _____

Total fees due _____

\$978.00

O P E
MAR 21 2002

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Please type a plus sign (+) inside this box



PATENT

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	C H9-2000-0049
First Inventor	Juergen Klenk et al.
Title	Systems and Methods for Computer-Based Secreting of Relevant Text
Express Mail Label No.	Hand Delivery

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 21]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 21]

5. Oath or Declaration [Total Pages 1]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

9. Computer Readable Form (CRF)

10. Specification Sequence Listing on:

- i. CD-ROM or CD-R (2 copies); or
- ii. paper

11. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

12. Assignment Papers (cover sheet & document(s))

13. 37 CFR 3.73(b) Statement
(when there is an assignee)

14. Power of Attorney

15. English Translation Document (if applicable)

16. Information Disclosure Statement (IDS)/PTO-1449

17. Copies of IDS Citations

18. Preliminary Amendment

19. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

20. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

21. Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

22. Other: EP #01103933.6 19/2/01

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

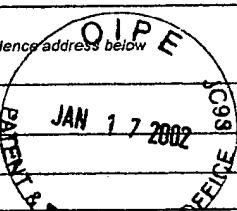
19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Labelor Correspondence address below

Name	30743		
PATENT TRADEMARK OFFICE			
Address			
City	State	Zip Code	Fax
Country	Telephone		

COPYName (Print/Type) C. Lamont Whitham Registration No. (Attorney/Agent) 22,424Signature C. Lamont Whitham Date 1-17-02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



O P E
MAR 21 2002
U.S. PATENT & TRADEMARK OFFICE

COPY

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number		
Filing Date	Jan 17, 2002	
First Named Inventor	KLEINK, J et al	
Examiner Name		
Group Art Unit		
Attorney Docket No.	CH9-3000-0049	

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number
Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355 Utility filing fee	<input type="text"/>
106	320	206 160 Design filing fee	<input type="text"/>
107	490	207 245 Plant filing fee	<input type="text"/>
108	710	208 355 Reissue filing fee	<input type="text"/>
114	150	214 75 Provisional filing fee	<input type="text"/>

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
26	6	$\cdot 20^{**} =$	<input type="text"/>
3	0	$\cdot 3^{**} =$	<input type="text"/>
Multiple Dependent			

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103	18	203 9 Claims in excess of 20	<input type="text"/>
102	80	202 40 Independent claims in excess of 3	<input type="text"/>
104	270	204 135 Multiple dependent claim, if not paid	<input type="text"/>
109	80	209 40 ** Reissue independent claims over original patent	<input type="text"/>
110	18	210 9 ** Reissue claims in excess of 20 and over original patent	<input type="text"/>

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	<input type="text"/>
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
139	130	139 130 Non-English specification	<input type="text"/>
147	2,520	147 2,520 For filing a request for ex parte reexamination	<input type="text"/>
112	920*	112 920* Requesting publication of SIR prior to Examiner action	<input type="text"/>
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	<input type="text"/>
115	110	215 55 Extension for reply within first month	<input type="text"/>
116	390	216 195 Extension for reply within second month	<input type="text"/>
117	890	217 445 Extension for reply within third month	<input type="text"/>
118	1,390	218 695 Extension for reply within fourth month	<input type="text"/>
128	1,890	228 945 Extension for reply within fifth month	<input type="text"/>
119	310	219 155 Notice of Appeal	<input type="text"/>
120	310	220 155 Filing a brief in support of an appeal	<input type="text"/>
121	270	221 135 Request for oral hearing	<input type="text"/>
138	1,510	138 1,510 Petition to Institute a public use proceeding	<input type="text"/>
140	110	240 55 Petition to revive - unavoidable	<input type="text"/>
141	1,240	241 620 Petition to revive - unintentional	<input type="text"/>
142	1,240	242 620 Utility issue fee (or reissue)	<input type="text"/>
143	440	243 220 Design issue fee	<input type="text"/>
144	600	244 300 Plant issue fee	<input type="text"/>
122	130	122 130 Petitions to the Commissioner	<input type="text"/>
123	50	123 50 Processing fee under 37 CFR 1.17(q)	<input type="text"/>
126	180	126 180 Submission of Information Disclosure Stmt	<input type="text"/>
581	40	581 40 Recording each patent assignment per property (times number of properties)	<input type="text"/>
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>
179	710	255 Request for Continued Examination (RCE)	<input type="text"/>
169	900	169 900 Request for Expedited examination of a design application	<input type="text"/>
Other fee (specify)			

COPY

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)	C. Lamont Whitham	Registration No. (Attorney/Agent)	32,424	Telephone	703/757-9400
Signature				Date	Jan 17, 2002

WARNING: Information on this form may become public. Credit card information should be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.